

# Memorandum

To: CHAIR AND COMMISSIONERS

CTC Meeting: February 26-27, 2003

Reference No.: 3.7  
Information Item

From: ROBERT L. GARCIA  
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Local Assistance

Ref: **LOCAL ASSISTANCE QUARTERLY LUMP SUM ALLOCATION STATUS REPORT  
FOR 2002-03 FISCAL YEAR (FY)**

## **SUMMARY:**

As of December 31, 2002, \$279.8 million or 26.6% of the \$1 billion allocated has been expended. These funds were allocated for 277 local projects. The majority of these expenditures including FTA transfers are for:

- Surface Transportation Program – 56 projects, \$114 million
- Congestion Mitigation & Air Quality Program (CMAQ) – 53 projects, \$95.6 million
- Bridge – Seismic Retrofit – 58 projects, \$30.9 million
- Highway Bridge Rehabilitation and Replacement – 43 projects, \$12.3 million
- Demo Category – 6 projects, \$11.8 million.

The remaining 61 projects are a summary of all other funds or 22 % of the total projects. Three categories have not encumbered funds to date. These categories include STP State Match and Exchange, RR Grade Crossing Maintenance, and RR Grade Separations. These categories represent 7% of the total funds allocated and this trend is not unusual for these categories.

Approximately 28 percent of federal funds allocated have been expended and 7 percent of the state funds have been used. The delivery to date for fiscal year 2002-03 (\$273.9 million or 26.6% of the funds allocated) is lower compared to the same period last year (\$304.8 million or 31% of the funds allocated). However, late approval of the FY 2002-03 budget and the delays in the authorization of the federal appropriation's act has contributed to the slower use of the allocated funds especially in the STP State Match and Exchange category.

The allocations do not reflect the Governor's proposed reductions to the Bridge Seismic Retrofit Program or the Federal Subvention Funds.

**BACKGROUND:**

The Local Assistance Program administers the local assistance subvention budget under authority from the California Transportation Commission (Commission). The Commission provides an annual lump sum allocation consistent with the Budget Act. The Commission further delegates to the Department the authority to adjust allocations for local assistance and reports to the Commission if any transfers in or out of an expenditure category exceed 10 percent of the total allocation.

In June 2002, the Commission passed Resolution FM-01-07, the allocation of funds for local assistance for fiscal year 2002-03. The allocation included approximately \$88 million in state funds and \$966 million in federal funds for a total of approximately \$1 billion.

Attachment

**LOCAL ASSISTANCE FUNDS**  
**FY 2002-03**  
**(As of December 31, 2002)**  
(Dollars in 1,000)

Fund Description	CTC Allocation			Total Expenditures			Allocation Balance			Percent of Allocation Expended	Number of Projects
	State	Federal	Total	State	Federal	Total	State	Federal	Total		
Surface Transportation Prgm ( STP)		372,945	372,945		64,163	64,163	0	308,782	308,782	17.2%	52
STP State Match and Exchange	46,000		46,000	0		0	46,000		46,000	0.0%	0
Congestion Mitigation & Air Qual Prgm (CMAQ)		352,000	352,000		43,307	43,307	0	308,693	308,693	12.3%	36
Bridge Scour & State Match	900	3,300	4,200	0	271	271	900	3,029	3,929	6.5%	1
Highway Bridge Rehabilitation & Replacement (HBRR)		98,640	98,640		12,304	12,304	0	86,336	86,336	12.5%	43
Bridge - Seismic Retrofit	13,000	52,490	65,490	4,031	26,865	30,896	8,969	25,625	34,594	47.2%	58
RR Grade Crossing Protection		10,000	10,000		362	362	0	9,638	9,638	3.6%	1
RR Grade Crossing Maintenance	4,250		4,250	0	0	0	4,250	0	4,250	0.0%	0
Railroad Grade Separations	15,000		15,000	0	0	0	15,000	0	15,000	0.0%	0
Hazard Elimination & Safety (HES)		10,000	10,000		1,799	1,799	0	8,201	8,201	18.0%	24
Safe Routes to School	0	20,000	20,000	0	0	0	0	20,000	20,000	0.0%	
Transportation Enhancement Activities, Regional Share		45,000	45,000	0	5,981	5,981	0	39,019	39,019	13.3%	21
Transportation Enhancement Activities Exchange	6,440		6,440	438		438	6,002	0	6,002	6.8%	4
Demonstration Projects		0	0		11,861	11,861	0	(11,861)	(11,861)		6
Miscellaneous	2,000	1,625	3,625	1,385	3,939	5,324	615	(2,314)	(1,699)	146.9%	8
<b>Total Local Assistance Subvented Funds</b>	<b>87,590</b>	<b>966,000</b>	<b>1,053,590</b>	<b>5,854</b>	<b>170,852</b>	<b>176,706</b>	<b>81,736</b>	<b>795,148</b>	<b>876,884</b>	<b>16.8%</b>	<b>254</b>
FTA Transfers					103,055	103,055	0	(103,055)	(103,055)		23
<b>Total Local Assistance Including FTA Transfers</b>	<b>87,590</b>	<b>966,000</b>	<b>1,053,590</b>	<b>5,854</b>	<b>273,907</b>	<b>279,761</b>	<b>81,736</b>	<b>692,093</b>	<b>773,829</b>	<b>26.6%</b>	<b>277</b>

**Assumptions:**

- ☐ The amounts do not include Freeway Service Patrol or Bay Area Ferry Operations.
- ☐ FTA transfers are \$49,834,952 for RSTP, \$52,275,279 for CMAQ and \$945,000 for TEA.
- ☐ Misc. expenditures include projects not included elsewhere.
- ☐ Balances are based on allocation requests.
- ☐ The Allocation balance is the difference between CTC allocation and Total Expenditures.
- ☐ FAU/FAS allotment and expenditures is shown under STP
- ☐ Expenditures and Number of Projects is from LP2000 report